|  |   |  | Applica  | ation Number                           | 10/F  | <br>699,445   |  |
|--|---|--|--|--|---|---|--|
| TRANSMITTAL  |   | Filing Date                                  |  | October 30, 2003                       |   |   |  |
| FORM   |   | First Named Inventor                         |  | Shuler, Eric T.                        |   |   |  |
| (to be used for all c                                    | orrespondence after   | initial filing)                              | Art Unit   |  | <del> </del>  | assigned  |  |
|  |   | •  | Examiner Name  |  | Una   | Unassigned  |  |
| Total Number of Pag<br>Submission                        | ges in This   | 4  | Attorne  | ey Docket Number                       | 020   | 824-006610US  |  |
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| Fee Transmittal  | Form  | ☐ Drawin                                     |  |  |   | After Allowance Communication to Group                            |  |
| Fee Attach   | ned   | Licensi                                      | ng-relate  | d Papers                               |   | Appeal Communication to Board of Appeal Interferences             |  |
| ☐ Amendment/Re   | ply   | Petition                                     | n  |  |   | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |  |
| After Final  |   | Provision                                    | to Convo   | ication                                | Image: section of the content of the | Proprietary Information   |  |
| Affidavits/d   | declaration(s)  |  | Power of Attorney, Revocation Change of Correspondence Address |  |   | Status Letter   |  |
| Extension of Tir   | me Request  | Termin                                       | Terminal Disclaimer  |  |   | Other Enclosure(s) (please identify below):                       |  |
| Express Abando   | onment Request  |  | Request for Refund  CD, Number of CD(s)                        |  | Retu  | ırn Postcard  |  |
|  | dosure Statement  |  |  |  |   |   |  |
| Certified Copy of Priority                               |   | Remar  | tks  | The Commissioner is a Account 20-1430. | authori   | zed to charge any additional fees to Depo                         |  |
| Response to Mi<br>Incomplete App                         |   |  |  |  |   |   |  |
|  | to Missing Parts<br>CFR 1.52 or 1.53  |  |  |  |   |   |  |
|  |   |  |  | CANT, ATTORNEY,                        | OR A  | AGENT   |  |
|  | Firm Townsend and Townsend and Crew LLP  Or Patrick P. Javille C. Page No. 40 456 |  |  |  |   |   |  |
| or   | Individual Patrick R. Jewik Reg. No. 40,456                                       |  |  |  |   |   |  |
| or<br>Individual   |   | ///  | Signature  |  |   |   |  |
| or<br>Individual   | 7   |  |  |  |   |   |  |
| or<br>Individual   | December 1, 200   | )3   |  |  |   |   |  |
| or<br>Individual<br>Signature                            | 7   |  | ATE OF   | TRANSMISSION/M/                        | VILING  | G   |  |
| or Individual Signature Date Thereby certify that this c | December 1, 200   | CERTIFICA<br>facsimile transr                | mitted to th   | e USPTO or deposited with              | the Ur  |   |  |
| or Individual Signature Date Thereby certify that this c | December 1, 200 orrespondence is being nyelope addressed to: C                    | CERTIFICA<br>facsimile transformmissioner fo | mitted to th   | e USPTO or deposited with              | the Ur  | nited States Postal Service with sufficient postag                |  |

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 020824-006610US

Client Reference No.: Fridge Phonics/Fridge Farm 191.01

Commissioner for Patents P.O. Box 1450

DEC 0 3 2003 Alexandria, VA 22313-1450

TOWNSEND and TOWNSEND and CREW LLP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SHULER, et al.

Application No.: 10/699,445

Filed: October 30, 2003

For: TOY FOR USE WITH VERTICAL

**SURFACES** 

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. In accordance with the USPTO's decision to waive the requirement under 37 CFR 1.98 (a)(2)(i) for U.S. patents and published patent applications for all U.S. national patent applications filed after June 30, 2003, applicant has not submitted copies of the references.

It is respectfully requested that the all references cited on the attached PTO/SB/08B be expressly considered during the prosecution of this application, be made of record therein and appear among the "references cited" on any patent to issue therefrom.

SHULER, et al. PATENT

Application No.: 10/699,445

Page 2

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses

all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Patrick-R. Jewik Reg. No. 40,456

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Sheet

Substitute for form 1449B/PTO

## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(use as many sheets as necessary)

| Complete if Known      |                  |  |  |  |
|------------------------|------------------|--|--|--|
| Application Number     | 10/699,445       |  |  |  |
| Filing Date            | October 30, 2003 |  |  |  |
| First Named Inventor   | Shuler, Eric T.  |  |  |  |
| Art Unit               | Unassigned       |  |  |  |
| Examiner Name          | Unassigned       |  |  |  |
| Attorney Docket Number | 020824-006610US  |  |  |  |

|                       |              |   | U.S. PATENT DO                 | CUMENTS+   | -   |
|-----------------------|--------------|---|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
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|                       |              | US-   |                                |  |   |
|                       |              | US-   |                                | <del></del>  |   |

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| Examiner<br>Initials*    | Cite<br>No.1 | Fore                      | eign Patent Doo | cument                            | Publication Date | Name of Patentee or<br>Applicant of Cited | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant |     |
|                          |              | Country Code <sup>3</sup> | Number⁴         | Kind Code <sup>5</sup> (if known) |                  | Document                                  | Figures Appear   | 1 1 |

| NON PATENT LITERATURE DOCUMENTS |              |   |                |  |  |
|---------------------------------|--------------|---|----------------|--|--|
| Examiner Initials *             | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |  |  |

| Examiner  |  | Date       |  |
|-----------|--|------------|--|
| Signature | The state of the s | Considered |  |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.